#### 2012 MISSISSIPPI WATER RESOURCE CONFERERENCE JACKSON, MS, APRIL 3, 4



### PhD proposal Rethinking Environmental Management through collaborative practices. The Simeto River Agreement: just a crazy idea or a possible outcome?

### LAYMEN, EXPERTS, NGOs AND INSTITUTIONS IN WATERSHED MANAGEMENT

**Advisors** 

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#### THE SIMETO RIVER SOME SNAPSHOTS

#### RESEARCH APPROACH METHODOLOGY

#### THE SIME TO PROCESS AN OVERVIEW

#### US-ITALY EXCHANGE COMPARING FOR LEARNING

Giusy Pappalardo – PhD student in Regional and Urban Planning – Architecture Department – University of Catania – Italy – Apr 2012

#### THE SIMETO RIVER SOME SNAPSHOTS



→ Where is it?

Which resources?

Which disturbing factors?

Who does live and work there?



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Where is it?

Which resources?

Which disturbing factors?

Who does live and work there?

What do Institutions plan?

#### MATERIAL RESOURCES

rare elements of wilderness still existing

historical and cultural heritage places

peculiar farming systems Oranges and Citrus Trees; Almonds; Pistachios; Olives; Prickly Pears; Vegetebles.

fascinating rural landscape with ancient buildings and infrastructures

a lot of springs from Mount Etna







SOUTH ITALY_ EAST SICILY_	MATERIAL RESOURCES	DISTURBING FACTORS		
SIMETO	rare elements of wilderness still existing	water and ground pollution		
Where is it?	historical and cultural heritage places	Cities' depuration plants don't work     Waste management system don't work     Some polluting factories		
Which	peculiar farming systems Oranges and Citrus Trees; Almonds; Pistachios; Olives; Prickly Pears; Vegetebles.	derelict lands		
<ul> <li>resources?</li> <li>Which disturbing factors?</li> </ul>	fascinating rural landscape with ancient buildings and infrastructures	Crisis local agricoltural market $\rightarrow$ many young people don't want to work into the local farms anymore $\rightarrow$ they leave farms $\rightarrow$ landscape is changing		
	a lot of springs from Mount Etna	inefficient and useless hydraulic infrastructures		
		Illegal pumping		
Who does live and work there?				
What do Institutions plan?				



Where is it?

Which resources?

Which disturbing factors?

→ Who does live and work there?

> What do Institutions plan?

### **Urban People**

Some of them still have little farms near the River, "just to rember their granparents" (and to have fun on holidays); some of them don't know anything about the River (young generations: some escape from Sicily as they don't have an employment); some of them still enjoy the River.

#### **Traditional Farmers**

They want to be competitive in the global market, producing as much as they can (also using pesticides). They use a lot of water for citrus plants and vegetables.

#### **Organic Farmers**

Still a minority group, they try to be respectful for the environment.

#### Factories

Some of them transform rural products. Others produce material for buildings' constructions (bricks, plasters...) and are a source of pollution.

#### **Tourism operators**

They want to promote the River system as a beautiful place to visit.

#### Shepherds

They use the River's banks to graze.

### Little groups of fishers

Just to practice sport.

#### Grassroots associations

Urban people, some organic farmers and some hosts, that spend their free time to improve the River system. Some of them have specific interests to work in a more attractive place; some of them just want to leave a better place to their children.

Where is it?

Which resources?

Which disturbing factors?

Who does live and work there?

→ What do Institutions plan? 11 Municipalities + 2 River authorities + 3 Heritage Authorities + 3 Provincial Authorities + Regional Authority: THEY DON'T TALK EACH OTHER!

They do different plans but plans are different from the real world.

2 Regional Parks and a lot of Wilderness Refugees: JUST PROHIBITION, NO ACTIVITIES!

According to the European Directive on Strategic Environmental Assessment (SEA), they should involve the "Public" into the decision making process, but collaborative approach is not still an effective practice.

No vision, No projects, No economic strategies (just EU fundings, that probably will stop in 2013)



#### RESEARCH APPROACH METHODOLOGY



→ Questions

Paradigm

Participatory Action Research

Cross Disciplinary Investigation

Service Learning

### Research questions Which are tools, practices, and preconditions for different stakeholders' collaboration?

→ Questions

Paradigm

Participatory Action Research

Cross Disciplinary Investigation

Service Learning Research questions Which are these tools, practices, and preconditions for different stakeholders' collaboration?

**Laymen:** inhabitants and users of a land, with their direct experience of the land due to their everyday life; according to André Gorz, their experience is called *local knowledge*.

**Experts:** inhabitants or users of a land, or external people, with a specific kind of scientific knowledge; among them, researchers are experts with scholar skills, and they have the responsibility of producing innovation in order to improve society.

**NGOs:** groups of laymen, with distinguishing interests on their land.

**Institutions:** laymen or experts with high responsibilities for land management.

#### → Questions

Paradigm

Participatory Action Research

**Laymen:** inhabitants and users of a land, with their direct experience of the land due to their everyday life; according to André Gorz, their experience is called *local knowledge*.

**Research questions** 

Is it possible to establish a collaborative

strategy in managing water resources, and

which are its characteristics?

Cross Disciplinary Investigation

Service Learning **Experts:** inhabitants or users of a land, or external people, with a specific kind of scientific knowledge; among them, researchers are experts with scholar skills, and they have the responsibility of producing innovation in order to improve society.

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**Institutions:** laymen or experts with high responsibilities for land management.

→ Questions

Paradigm

Participatory Action Research

Cross Disciplinary Investigation

Service Learning Research questions Is it possible to establish a collaborative strategy in managing water resources, and which are its characteristics?

The

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TRUCK CONTRACT

NATIONAL BESTSELLER

#### TIPPING POINT

How Little Things Can Make a Big Difference

> MALCOLM GLADWELL

"A fastinating book that maker you see the world in a different way." -- Forever In Sicily collaborative strategies are not so common and successful, so I am looking for the STICKINESS FACTOR

RESEARCH APPROACH Questions	Some "referenced key-words" for collaborative practices in environmental management		
→ Paradigm	ACTIVE PRESERVATION/ ADAPTIVE PROCESSES	McHarg	
Participatory Action Research	LOCAL KNOWLEDGE	Gorz	
	DEMOCRACY/EXPERTISE	Fisher	
Cross Disciplinary Investigation	RESPONSIBILITY	Jonas	
	COMMON GOODS	Ostrom	
Service			

Learning

### Research strategy Participatory Action Research

subjective and inductive for theory building, also taking into account some methods from other subjective and constructionist strategies.

Questions

Paradigm

Participatory
 Action
 Research

Cross Disciplinary Investigation

Service Learning Mixed Research Team •researchers enter inside practical problems and evaluate their hypothesis during the action; •participants get capabilities in raising problems and building strategies



	INDUCTIVE	REFLEXIVE	DEDUCTIVE	Main strategy
OBJECTIVIST				Complementary strategies
				Useful tools
CONSTRUCTIONIST				classification matrix of
SUBJECTIVIST				Deming&Swaffield

Questions

Paradigm

Participatory Action Research

Cross
 Disciplinary
 Investigation

Service Learning

#### **ARCHITECTURE – ENGINEERING** (Planning) my Advisor my Mentor Antonio Raciti, me Filippo Gravagno, Laura Saija, **Giusy Pappalardo PhD** candidate PhD and PhD and EU Marie Curie PhD student **Chair of Aesop YAN** Researcher **Research Fellow** SOCIAL SCIENCES Marinella Tomarchio, Pedagogist Milena Viani Santa Sicali, **PhD and Full Professor** Psychologist Pedagogist

SCHOLARS'TEAM

#### TRANSIDCIPLINARY RESEARCH (Wiesmann et al.)

"Transdisciplinary research is research that includes cooperation within the scientific community and a debate between re-search and the society at large. Transdisciplinary research therefore trans-gresses boundaries between scientific disciplines and between science and other societal fields and includes deliberation about facts, practices and values."

Questions

Paradigm

Participatory Action Research

Cross Disciplinary Investigation

→ Service Learning

# **SERVICE LEARNING**

PARTNERSHIP BETWEEN: UNIVERSITY OF CATANIA (ARCHITECTURE DEPARTMENT)

### NETWORK OF GRASSROOTS ASSOCIATIONS







Questions

Paradigm

Participatory Action Research

Cross Disciplinary Investigation

Service Learning

# SERVICE LEARNING

•University apply its theoretical skills and contribute directly to local development;

Associations learn and improve their action;
Institutions innovate themselves.



#### THE SIMETO PROCESS AN OVERVIEW



→ Starting point

Community Mapping (values and identity)

Community Design Workshop (strategic plan)

The River Agreement

**Pilot Actions** 

NO TO THE BIG INCINERATOR INSIDE a Special Area of Conservation (European Directive: Habitat)!

NO TO THE WASTE MANAGEMENT PLAN BY SALVATORE CUFFARO - 2002 (Sicilian Governor, condamned for mafia some years later)

YES to more sustainable

dicono che le muce Communities!

→ Starting point

Community Mapping (values and identity)

Community Design Workshop (strategic plan)

The River Agreement

**Pilot Actions** 

# NO TO THE BIG INCINERATOR INSIDE a

Special Area of Conservation (European Directive: Habitat)!

WHICH PROPOSALS? BY SALVATORE CUFFARO - 2002 (Sicilian Governor, condamned for mafia some years later)

YES to more sustainable Communities!

Starting point

→ Community Mapping (values and identity)

> Community Design Workshop (strategic plan and pilot actions)

The River Agreement

### **COMMUNITY MAPPING**

What do you like? What do yo not like?

Which memories do you have? What is disappearing and you would like to save? Which are you proposal to help the River? What about the water?

My fieldwork for my master dissertation 500 people involved in four municipalities

(from december 2009 to april 2010)

Starting point

Community Mapping (values and identity)

Community
 Design
 Workshop
 (strategic
 plan and
 pilot actions)

The River Agreement

### COMMUNITY DESIGN WORKSHOP – 6 topics

### **Energy and resources**

### **Environmental** Regeneration

**Responsible Tourism** 

**Sustainable Agricolture** 

### Sustainable Housing

### Water quality

TAVOLO MAGRICOLLIURA Menere Menere

Starting point

Community Mapping (values and identity)

Community
 Design
 Workshop
 (strategic
 plan and
 pilot actions)

The River Agreement EnviroTHE RIVER AGREEMENT\_FIRST DOCUMENT nable protecton Housing What does we know about the river? What does we want for the river? How to make something for the river concretely? When? Who?

### Responsible

What can every subscriber really do for the river?r Agricolture

Starting point

Community Mapping (values and identity)

Community
 Design
 Workshop
 (strategic
 plan and
 pilot actions)

The River Agreement



VERSO UN PATTO PER IL FIUME SIMETO TRA ISTITUZIONI E COMUNITA'

dicembre 2009-maggio 2010

materiali elaborati nell'ambito del progetto MAPPARE LA COMUNITA' DEL SIMETO



Università degli Studi di Catania Dipartisono di Arabitettoro e Urbanistica

### **PILOT ACTIONS**



Planting and adopting trees in a derelicted area



Creative school-community garden in an abandoned public space

Starting point

Community Mapping (values and identity)

Community Design Workshop (strategic plan and pilot actions)

The River Agreement

Lat South

## IT IS TIME TO DEFINE A FRAME WITH A NETWORK OF INSTITUTIONS

Planting and adopting trees in a derelicted area



Creative school-community garden in an abandoned public space

Starting point

Community Mapping (values and identity)

Community Design Workshop (strategic plan and pilot actions)

→ The River Agreement

# IT IS TIME TO DEFINE A FRAME WITH A NETWORK OF INSTITUTIONS

### THE SIMETO RIVER AGREEMENT **Involving Institutions to define** the River Community Statement and a system of actions to revitalize spoiled contexts

Starting point

Community Mapping (values and identity)

Community Design Workshop (strategic plan and pilot actions)

→ The River Agreement

### THE PARTNERSHIP IS GOING TO EXPAND

Involving Municipalities, Regional Authorities, Environmental and Heritage Agencies

**Problem posing:** In Siciliy, Institutions are not used to collaborate with other stakholders. Some of them just decided to experience the collaboration

> Next step: April, 26 TO SIGN A FIRST DOCUMENT OF AGREEMENT





#### US-ITALY EXCHANGE COMPARING FOR LEARNING

→ Why

Research design

Criteria to select the Case-Study

Harris Bayou

A preliminary lesson



### TO SELECT A SET OF BEST PRACTICES IN ORDER TO IMPROVE THE PROCESS





#### MISSISSIPPI STATE UNIVERSITY EU Exchange Program

Research design

→ Why

Criteria to select the Case-Study

Harris Bayou

A preliminary lesson Contact Professor: Prof. Sadik C.Artunç, FASLA, Professor and Head Department of Landscape Architecture - College of Agriculture and Life Sciences

Local Advisor: Prof. Wayne Wilkerson, ASLA, Associate Professor at Department of Landscape Architecture, Director at Mississippi Water Resources Research Institute

**OBJECTIVE**: CASE-STUDY TO SHARE WITH *THE SIMETO PROCESS* PARTICIPANTS THROUGH FOCUS GROUPS, PUBLIC PRESENTATIONS AND A WEB SITE UNDER CONSTRUCTION

### TO SELECT A SET OF BEST PRACTICES IN ORDER TO IMPROVE THE PROCESS

#### Why

#### → Research design

Criteria to select the Case-Study

#### Harris Bayou

#### A preliminary lesson

#### **The Simeto Participatory Action Research Process**

**My PhD dissertation** 

#### 2 overall goals:

sustaining the process itself (action goal); defining precondition and characteristics of collaboration among laymen, experts, NGOs and Institutions in watershed management

#### **Case-Study**

Tools to Collect Data	Tools to process Data and for a Collective Learning Process	
Informal interview	REPORTS TO SHARE WITH PARTICIPANTS	
Focus Groups		
Individual Research (Case-Study) (research in Italy and at MSU)	REPORTS TO SHARE WITH PARTICIPANTS PUBLIC PRESENTATION WEB SITE	
Community design workshop (fieldwork in Sicily)	WRITE REPORTS TO SHARE WITH PARTICIPANTS; WEB SITE	
Asset Based Techinques (fieldwork in Sicily)		

The Case Study Method is a useful tool for PAR processes, to help participants in visualizing possible alternatives (Francis 2001)

Why

Research design

 Criteria to select the Case-Study

Harris Bayou

A preliminary lesson ACTIVE PRESERVATION AND LEARNING BY DOING

#### RELATIONSHIP AMONG: LAYPEOPLE/EXPERTS/NGOs/INSTITUTIONS

What are preconditions and methods to implement this relationship?

#### PARTNERSHIP PUBLIC/PRIVATE IN MANAGING COMMONS

Which form of agreement? How to build and manage them?

Why

Research design

Criteria to select the Case-Study

#### → Harris Bayou

Some preliminary results

#### Case-Study selected:

Watershed Implementation Plan for Harris Bayou – Mississippi State – USA – Mississippi Delta Nutrient Reduction Strategy

**Source of Information** (YIN, 1994) Reports; first set of questions with key – informant interviews (in progress)

Output •A Scientific Article •A Communicative tool



"The Mississippi Delta nutrient reductions strategy development process began with a visioning exercise with key partners and stakeholders to ensure a consistent approach, promote leveraging of resources, and foster stakeholder buy-in" Voluntary, incentive – based, practical, cost-effective action; Use existing programs.

→Use collaborative teams of stakeholders, governmental agencies, NGOs, academia, business, agricultural producers to develop the nutrient reduction strategy.



Why

Research design

Criteria to select the Case-Study

Harris Bayou

→ A preliminary lesson

#### THE SIMETO RIVER PROCESS

•It started without institutional support

•It is the process to build a frame

• It is going to define pilot actions inside the frame

#### THE HARRIS BAYOU IMPLEMENTATION PLAN

•It started with institutional support

•It has a frame (Delta Nutrient Reduction Strategy)

• It is going to be implemented

Why

Research design

Criteria to select the Case-Study

Harris Bayou

→ A preliminary lesson

#### THE SIMETO RIVER PROCESS

•It started without institutional support

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#### THE HARRIS BAYOU IMPLEMENTATION PLAN

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•It has a frame (Delta Nutrient Reduction Strategy)

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 $\checkmark$ 

NEXT QUESTIONS TO INVESTIGATE WHAT MAKES THE HARRIS BAYOU SUCCESSFUL? WHAT IS HAPPENING IN THE FIELD?

# **THANK YOU**

Giusy Pappalardo – PhD student in Regional and Urban Planning – Architecture Department – University of Catania – Italy – Apr 2012